

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0051-0021

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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 3029-000083/NP

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/511,967

INTERNAT	PCT/AU03/00478   22 April 2003 (22.04.2003)   26 April 2002 (26.04.2002)								
TITLE OF INVENTION RETRACTOR									
APPLICANT(S) FOR DO/EO/US ROYSE, Alistair; BERRY, David; HAMILTON, Brett and KERR, Michael									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. 🔲 1	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.								
2. X T	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. 🔲 1	The US has been elected (Article 31).								
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
	a. is attached hereto (required only if not communicated by the International Bureau).								
	b. has been communicated by the International Bureau.								
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).								
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
	a. is attached hereto.								
-	b. has been previously submitted under 35 U.S.C. 154(d)(4).								
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
	a. are attached hereto (required only if not communicated by the International Bureau).								
	b. have been communicated by the International Bureau.								
	c. have not been made; however, the time limit for making such amendments has NOT expired.								
	d. have not been made and will not be made.								
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9. X	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Items	11 to 20 below concern document(s) or information included:								
11.	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.								
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13. 🔲	A preliminary amendment.								
14. 🔯	An Application Data Sheet under 37 CFR 1.76. Corrected ADS and Marked-up version								
15. 🔲	A substitute specification.								
16. 🗌	A power of attorney and/or change of address letter.								
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.								
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19.	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20. 🛚	Express Mail Transmittal (Label EV 570 177 167 US), Response to Notification of Missing Requirements and Other items or information: Submission of Corrected Application Data Sheet, copy of Notification of Missing Requirements, Return Postcard								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICAT	ION NO. (if knowr	PPLICATION NO. 3/00478	ATTORNEY'S DOCKET NUMBER						
	10/511,967	3029-000083/NP							
The foll	owing fees have b	CALCULATIONS	PTO USE ONLY						
21. 🔲 Basi	c national fee	\$							
If International particle 33	nination fee reliminary examin 3(1)-(4)	\$							
Search fee (37 C Internatio International Sea	rch fee FR 1.445(a)(2)) h nal Searching Aut rch Report prepar s	\$							
	TOTAL OF 21, 22	\$							
☐ sequence lis	e for specification sting or computer 250 for each addit								
Total Sheets	Extra Sheets		additional 50 or fraction p to a whole number)	RATE					
- 100 =	/50 =			x \$250	\$ 0.00				
	0.00 for furnishing ate (37 CFR 1.49)	\$							
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$				
Total claims		- 20 =	•	x \$ 50	\$				
Independent clair	ns	- 3 =		× \$200	\$				
MULTIPLE DEPE	NDENT CLAIM(S	S) (if applicable)		+ \$360	\$				
			TOTAL OF ABOVE	CALCULATIONS =	\$				
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		Amount to be refunded:	\$						
					Amount to be charged:	\$			
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.									
c. X  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No08-0750 A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed									
and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO:    Send All Correspondence to:   Send All Correspondenc									
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United States of America  39,052  REGISTRATION NUMBER									

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/511,967

International

Filing Date:

April 22, 2003

Applicant:

Alistair ROYSE et al.

Title:

RETRACTOR

Atty. Docket No.:

3029-000083/NP

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS AND SUBSISSION OF CORRECTED APPLICATION DATA SHEET

Pursuant to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed March 7, 2005 (copy attached), enclosed herewith is a fully executed Declaration and Power of Attorney.

Also enclosed is an updated Application Data Sheet that has been revised to incorporate corrections indicated by the inventors on the signed Declaration and Power of Attorney. A marked-up copy of the original Application Data Sheet as filed is enclosed for the Examiner's convenience.

Please update the records of the Patent Office to incorporate the changes indicated on the Declaration and revised Application Data Sheet and include this corrected information on the Official Filing Receipt.

Respectfully submitted,

Dated: 4-12-05

By: David P. th David P. Utykański, Reg. No.: 39,052

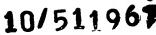
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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EV 570 177 167US

Date of Deposit: <u>/2</u> April 2005

Application No.:

10/511,967

International

Filing Date:

April 22, 2003

Applicant:

Alistair ROYSE et al.

Title:.

RETRACTOR

Atty. Docket No.:

3029-000083/NP

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

### **EXPRESS MAIL TRANSMITTAL**

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee on <a href="#">/2</a> April 2005 and addressed for receipt by the United States Patent and Trademark Office:

- 1. Express Mail Transmittal (Label No. EV 570 177 167 US);
- 2. Transmittal Letter to the US Designated/Elected/Office Concerning a Submission Under 35 USC 371 (2 pages, in duplicate)
- Response to Notification of Missing Requirements and Submission of Corrected Application Data Sheet (1 page);
- Signed Declaration and Power of Attorney (3 pages);
- 5. Corrected Application Data Sheet (3 pages);
- 6. Marked-up version of Application Data Sheet (2 pages);
- Copy of Notification of Missing Requirements (2 pages);
- 8. Return Postcard.

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#### APPLICATION INFORMATION

Title Line One:: Retractor Total Drawing Sheets:: 6 Formal Drawings?:: Yes Application Type:: Utility Docket Number:: 3029-000083

Secrecy Order in Parent Appl.?:: No



# REPRESENTATIVE INFORMATION

Representative Customer Number:: 27572

### PRIOR FOREIGN APPLICATIONS

Foreign Application One:: PCT/AU03/00478

Filing Date:: 04-22-2003

Country:: PCT

Priority Claimed:: Yes

Foreign Application Two:: PS1985/02

Filing Date:: 04-26-2002

Country:: Australia Priority Claimed:: Yes

Source:: PrintEFS Version 1.0.1